

## Daniel L. Keppler

General Counsel

121 SW Morrison Street  
Suite 1100  
Portland, OR 97204

T 503.553.3231  
F 503.226.0259  
dan.keppler@foster.com



As Foster Garvey’s general counsel, Dan oversees and implements the firm’s professional responsibility, client intake and risk management programs. He advises and educates the firm’s attorneys and staff on legal ethics and best practices.

Dan occasionally counsels professional and institutional clients on risk management issues, particularly involving securities liability and professional liability.

In Dan’s prior role as a litigator, he handled securities litigation, legal malpractice defense and complex business litigation.

### Education

J.D., Gonzaga University School of Law (*magna cum laude*), 1992

B.A., Willamette University, College of Liberal Arts, 1989

### Honors & Recognition

*Best Lawyers in America*® Commercial Litigation and Litigation – Securities, 2022-present

Martindale-Hubbell AV Preeminent rating

### Professional Activities

Oregon State Bar, Securities Regulation Section

- Chair, 2020

### Services

Litigation

Alternative Dispute Resolution

Class Action Litigation

Securities Litigation & Enforcement

### Admissions

Oregon, 1992

Washington, 2000

U.S. District Court, District of Oregon, 1993

U.S. District Court, Western District of Washington, 2018

U.S. Court of Appeals for the 9th Circuit, 1996

- Secretary, 2018

Oregon State Bar, Legal Ethics Committee

- Chair, 2018
- Secretary, 2016

Oregon State Bar, Bar Press & Broadcasters' Council

- Member, 2006-2008

Oregon State Bar, Uniform Civil Jury Instructions Committee

- Chair, 2001
- Member, 1999-2001

### Community Activities

City Club of Portland

- Bicycle Transportation Advocacy Committee, Member, 2013-2014
- Liquor Privatization Research Committee, Member, 2013-2014
- Bicycle Transportation Research Committee, Chair, 2012-2013

### News

57 Foster Garvey Attorneys Recognized Among the 2024 Best Lawyers in America; Two Portland Attorneys Named 'Lawyer of the Year'

*Foster Garvey Newsroom*, 8.17.23

45 Foster Garvey Attorneys Recognized Among *The Best Lawyers In America*®

*Foster Garvey Newsroom*, 8.18.22

*Law360 Pulse* Profiles Foster Garvey's New General Counsel Dan Keppler

*Law360 Pulse*, 4.11.22

Dan Keppler Promoted to Foster Garvey's General Counsel; Cinda Fernald, Former GC and Long-Time Employee, Set to Retire

*Foster Garvey Newsroom*, 4.1.22

38 Attorneys Named 2022 Best Lawyers in America; 11 Named Best Lawyers: Ones to Watch

*Foster Garvey Newsroom*, 8.19.21

Working to Reform Campaign Finance Systems

*Foster Garvey Newsroom*, 2019

## Speaking Engagements

"Cautionary Advice Related to Securities for Business Attorneys," Oregon State Bar 2022  
Business Law Update  
Speaking Engagement  
Virtual Event, 11.2.22

"Truly Recognizing and Comprehending Common Client Conflicts" Multnomah Bar Association  
CLE Seminar  
Speaking Engagement  
Virtual Event, 5.18.22

"Understanding Securities Liability Risks to Transactional Lawyers," Oregon State Bar Securities  
Regulation Section CLE  
Speaking Engagement  
Virtual Event, 12.1.21

"The Litigation Aftermath of Aequitas Capital Management," Oregon State Bar Securities  
Regulation Section CLE  
Panel Discussion  
Virtual Event, 10.7.20

"Two Case Studies in Securities Litigation: Aequitas SEC Receivership (OR) and *Federal Home  
Loan v. Credit Suisse* (WA)," 40th Annual Northwest Securities Institute  
Panel Discussion  
Seattle, WA, 3.6.20

"Insecurity: The Interplay of Ethics and Liability for Securities Lawyers," 39th Annual Northwest  
Securities Institute - Oregon State Bar  
Speaking Engagement  
Portland, OR, 5.3.19

"State and Provincial Regulatory Updates," 39th Annual Northwest Securities Institute - Oregon  
State Bar  
Panel Discussion  
Portland, OR, 5.3.19

"The Clouds of Oregon's Blue Sky Law: Securities Liability Risks for Transactional Lawyers,"  
Oregon State Bar Securities Regulation Section Meeting  
Speaking Engagement  
Portland, OR, 12.19.18

"Advertising in the Modern World," OADC 2018 Fall Seminar

Speaking Engagement

Portland, OR, 11.2.18

"Risks for Lawyers Under Oregon Securities Law," Deschutes County Bar Association Lunch

Speaking Engagement

Bend, OR, 5.10.18

"Ominous Clouds: Things That Can Make Blue Sky Lawyers Blue," WSBA 38th Annual

Northwest Securities Institute CLE

Speaking Engagement

Seattle, WA, 5.4.18

"Securities Liability for Transactional Lawyers Under Oregon's *Expansive* Blue Sky Law,"

Oregon State Bar Securities Regulation Section Event

Speaking Engagement

Portland, OR, 12.20.17

"So You Think You Don't Practice 'Securities Law'?" Oregon State Bar CLE

Speaking Engagement

Tigard, OR, 9.13.17

"Oregon's Blue Sky Securities Law—Better Safe than Sorry," Oregon State Bar 2017 RELU

Summer Conference

Speaking Engagement

Bend, OR, 8.18.17

"What You May Not Know About the Application of the Attorney-Client Privilege, Work Product

Doctrine and Joint Defense Doctrine in Oregon," Oregon State Bar 2017 RELU Spring Forum

Speaking Engagement

Tigard, OR, 4.28.17

## **Publications**

Securities Liability for Transactional Lawyers: The Blues of Oregon's Blue Sky Law

*inBrief* (Oregon State Bar - Professional Liability Fund), June 2019

Presentation Outline: "Securities Liability for Transactional Lawyers Under Oregon's Expansive

Blue Sky Law," Oregon State Bar Securities Regulation Section Event

*GSB Newsroom*, 12.20.17

Mediation Confidentiality: Are there two interpretations of *Alfieri v. Solomon*?

*GSB Newsroom*, 6.17